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PTO/SB/29 (12/97)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	010431-0003-999	Total Pages
<i>First Named Inventor or Application Identifier</i>		
Ian Ruddle		
Express Mail Label No.	EL 451 596 747 US	09/843460

04/25/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- |  |   |
|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/><i>Submit an original, and a duplicate for fee processing</i></p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>16</u>] <br/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>-Descriptive title of the Invention</li> <li>-Cross Reference to Related Applications</li> <li>-Statement Regarding Fed sponsored R&amp;D</li> <li>-Reference to Microfiche Appendix</li> <li>-Background of the Invention</li> <li>-Brief Summary of the Invention</li> <li>-Brief Description of the Drawings <i>(if filed)</i></li> <li>-Detailed Description of the Invention (including drawings, <i>if filed</i>)</li> <li>-Claim(s)</li> <li>-Abstract of the Disclosure</li> </ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i> [Total Sheets <u>8</u>]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total Sheets <u>  </u>]</p> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> Newly executed (original or copy)</li> <li><input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 17 completed)</i></li> <li><b>[Note Box 5 below]</b></li> <li>i. <input type="checkbox"/> <u>DELETION OF INVENTORS(S)</u><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).</li> </ul> <p>5. <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i><br/>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i></p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy <i>(identical to computer copy)</i></li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> |
|--|---|

**ACCOMPANYING APPLICATION PARTS**

- 8.  Assignment Papers (cover sheet & document(s))
- 9.  37 CFR 3.73(b) Statement  Power of Attorney *(when there is an assignee)*
- 10.  English Translation Document *(if applicable)*
- 11.  Information Disclosure  Copies of IDS Statement (IDS)/PTO-1449 Citations
- 12.  Preliminary Amendment
- 13.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
- 14.  Small Entity  Statement filed in prior application, Statement(s) Status still proper and desired
- 15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
- 16.  Other: Applicant claims Small Entity Status see 37 CFR 1.27; and, Cover Sheet for Patent Application (1 page).

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:** Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: filed .**18. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Labelor  Correspondence address below

NAME				
ADDRESS				
CITY	STATE		ZIP CODE	
COUNTRY	TELEPHONE		FAX	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**PENNIE & EDMONDS LLP**

COUNSELLORS AT LAW  
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ATTORNEY DOCKET NO. 010431-0003-999Date: April 25, 2001

Assistant Commissioner for Patents  
 Box PATENT APPLICATION  
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Ian Ruddle

Executed on:

Title of Invention: APPARATUS AND METHOD FOR PROVIDING SECURE COMMUNICATION ON A NETWORK

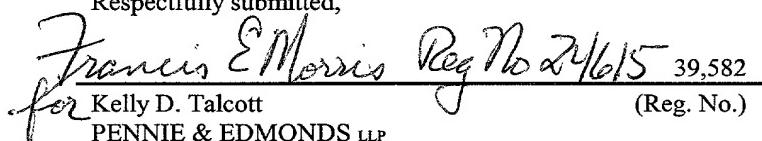
**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	18	-20	0	\$18.00 each	\$ 0.00
Independent	3	-3	0	\$80.00 each	\$ 0.00
				Minimum Fee	\$ 710.00
				Multiple Dependency Fee If Applicable (\$270.00)	\$ 0.00
				Total	\$ 710.00
				50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (Applicant claims Small Entity Status see 37 CFR 1.27.)	- \$ 355.00
				Total Filing Fee	\$ 355.00

- DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- Priority of application no. filed on is claimed under 35 U.S.C. § 119.
- The certified copy of the priority application has been filed in application no. filed
- Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,



for Kelly D. Talcott  
 PENNIE & EDMONDS LLP

(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.